

2019

**Disclosure of Information for Professional Service Provider to  
Churchill Borough's Municipal Pension System**

Identify the Municipal Pension System(s) for which you are providing information:

Non-Uniform Plan     Police Plan

Professional Service Provider: PNC Bank Institutional Asset Management Group

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**Section 3 – Individuals Providing Professional Services and Affiliated Entities:**

A. Please provide names and titles of all individuals who provide professional services to the municipal pension plan.

*Lori Kinzler, Fiduciary Advisor:*

- Provides daily contact with client regarding administration of account
- Facilitates pension disbursements
- Coordinates in-flows and out-flows of cash
- Provides audit support

*Joyce Frydryck, Fiduciary Manager:*

- Leadership oversight of Account Administrator including problem resolution, product development, and project management.

*John Hagen, Investment Advisor:*

- Meets with clients to ensure their needs are being met
- Introduces new products and services that will add value for client

*The following individuals provide managerial oversight of the individuals listed above:*

- Melissa Casazza, PNC Institutional Asset Management, Fiduciary Market Director
- Sylvia Diez, PNC Institutional Asset Management, Regional Managing Director
- Melissa Richey, PNC Institutional Asset Management, Market Managing Director

B. Please identify any Affiliated Entity (name and title) of your company.

*PNC Capital Advisors. The individual below has managerial oversight over the services provided by PNC Bank, N.A. for the Plan:*

- Alistair Jessiman, Chairman and President of PNC Capital Advisors, LLC

**Section 4 – Disclosure:**

**Regarding individuals who will provide services under the contract:**

		Yes	No
1.	Are any current or former officials or employees of the municipality?		X
2.	Are any a registered federal or state lobbyist?		X
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		X

**Regarding your firm and Affiliated Entities:**

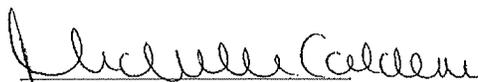
**Yes No**

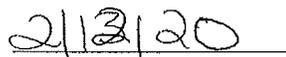
1.	<p>Have you or an Affiliated Entity made any contributions to which all of the following apply:</p> <ul style="list-style-type: none"> <li>a. The contribution was made within the last five years.</li> <li>b. The contribution was made by an officer, director, Executive-Level employee or owner of at least 5% of the firm or Affiliated Entity.</li> <li>c. The amount of the contribution was at least \$500 in the form of                             <ul style="list-style-type: none"> <li>i. a single contribution by a person in subparagraph (b) above; or</li> <li>ii. the aggregate of all contributions by all persons in subparagraph (b) above.</li> </ul> </li> <li>d. The contribution was made to:                             <ul style="list-style-type: none"> <li>i. a candidate for any public office in the Commonwealth or to an individual who holds that office; or</li> <li>ii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.</li> </ul> </li> </ul> <p><i>Note: Refer to the attached report entitled PA Political Contributions 2014-2018.</i></p>	X	
2.	<p>Have you or an Affiliated Entity given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?</p>		
3.	<p>Do you or an Affiliated Entity employ or retain any third-party intermediary, agent or lobbyist? If "Yes", describe the duties of that person.</p> <p><i>Note: PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.</i></p>		X
4.	<p>a. Do you or an Affiliated Entity have a direct financial, commercial or business relationship with any official of the municipal pension system or the municipality which controls the municipal pension system?</p> <p><i>Note: PNC may potentially have customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with officials identified on the "List of Municipal Officials" of the Borough of Churchill.</i></p>		X
	<p>b. Answer only if the answer to 4a. was "Yes": Has the municipal pension system consented in writing to the relationship following full disclosure?</p>		-
5.	<p>Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?</p>		X

6. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information: (attach additional pages if necessary):
- Name and address of the contributor:
  - Contributor's relationship to the Contractor:
  - Name and office or position of each person receiving a contribution:
  - Amount of the contribution:
  - Date of the contribution:

*Note: Refer to the attached report entitled PA Political Contributions 2014-2018. This report reflects all contributions of at least \$500 (singly or in the aggregate) made from 2014-2018 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders. Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).*

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

  
 Authorized Signature

  
 Date

VERIFICATION

I, Joyce Frydryk hereby state that I am a Fiduciary Manager for  
(Name) (Position)

PNC Bank, N.A. - Institutional Asset Management Group and I am authorized to make this verification.  
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to BOROUGH OF CHURCHILL Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Joyce Frydryk  
Signature

2-12-2020  
Date

**PA Political Contributions (2014 - 2018) - Officer/Director/Executive Employee**

Note: PNC Officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System

- (i) The contribution was made within the last five years.
- (ii) The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the person or affiliated entity.
- (iii) The amount of the contribution was at least \$500 in the form of:
  - (A) A single contribution by a person included in subparagraph (ii).
  - (B) The aggregate of all contributions by all persons listed in subparagraph (ii).
- (iv) The contribution was made to:
  - (A) A candidate for any public office in the Commonwealth or to an individual who holds that office.
  - (B) A political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.

(Section 705-A. Disclosure)

Contributor Name	Contributor Is	Contributor Address	Date of Contribution	Name of Recipient	Recipient Is A	State Title Of Office	Amount of Contribution
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$350
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$150
Gregory Jordan	Officer	601 West Drive Sewickley, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$150
Gregory Jordan	Officer	601 West Drive Sewickley, PA	4/7/2015	Hugh McGough	Candidate (or Candidate's Committee) for State or Local Office	Court of Common Pleas Judge	\$1,000
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	2/21/2014	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	4/17/2014	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	8/4/2014	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	6/27/2015	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	4/19/2016	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$500
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	5/18/2017	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	11/17/2017	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$100
Thomas Usher	PNC Director	26124 Fawn Wood Ct Bonita Springs, FL	7/21/2015	Mike George	Candidate (or Candidate's Committee) for State or Local Office	PA Supreme Court	\$1,000
Thomas Usher	PNC Director	26124 Fawn Wood Ct Bonita Springs, FL	4/30/2014	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$1,000
Charles Bunch	PNC Director	1414 Beechwood Blvd Pittsburgh, PA	9/4/2014	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$2,500
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	9/4/2018	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	9/4/2018	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350