

2015

**Disclosure of Information for Professional Service Provider to  
Churchill Borough's Municipal Pension System**

Identify the Municipal Pension System(s) for which you are providing information:

Non-Uniform Plan     Police Plan

Professional Service Provider: PNC Bank Institutional Asset Management Group

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**Section 3 – Individuals Providing Professional Services and Affiliated Entities:**

A. Please provide names and titles of all individuals who provide professional services to the municipal pension plan.

*Lori Kinzler, Account Administrator:*

- Provides daily contact with client regarding administration of account
- Facilitates pension disbursements
- Coordinates in-flows and out-flows of cash
- Provides audit support

*Joyce Frydryck, Client Services Group Team Lead:*

- Leadership oversight of Account Administrator including problem resolution, product development, and project management.

*John Hagen, Institutional Client Advisor:*

- Meets with clients to ensure their needs are being met
- Introduces new products and services that will add value for client

*The following individuals provide managerial oversight of the individuals listed above:*

- Anthony Martinelli, PNC Institutional Asset Management, Director of Administration and Investments
- Michael Yoon, PNC Institutional Asset Management Senior Institutional Client Advisor, Team Leader
- Sylvia Diez, PNC Institutional Asset Management, Market Director

B. Please identify any Affiliated Entity (name and title) of your company.

*PNC Capital Advisors. The individual below has managerial oversight over the services provided by PNC Bank, N.A. for the Plan:*

- Mark McGlone, President and Chief Investment Officer of PNC Capital Advisors

**Section 4 – Disclosure:**

**Regarding individuals who will provide services under the contract:**

		Yes	No
1.	Are any current or former officials or employees of the municipality?		X
2.	Are any a registered federal or state lobbyist?		X
3.	Have any made a contribution in the past two years to a municipal official or candidate for office at municipality?		X

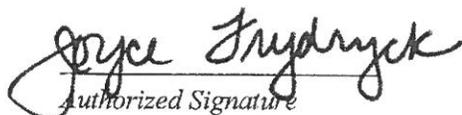
**Regarding your firm and Affiliated Entities:**

		Yes	No
1.	<p>Have you or an Affiliated Entity made any contributions to which all of the following apply:</p> <ul style="list-style-type: none"> <li>a. The contribution was made within the last five years.</li> <li>b. The contribution was made by an officer, director, Executive-Level employee or owner of at least 5% of the firm or Affiliated Entity.</li> <li>c. The amount of the contribution was at least \$500 in the form of                             <ul style="list-style-type: none"> <li>i. a single contribution by a person in subparagraph (b) above; or</li> <li>ii. the aggregate of all contributions by all persons in subparagraph (b) above.</li> </ul> </li> <li>d. The contribution was made to:                             <ul style="list-style-type: none"> <li>i. a candidate for any public office in the Commonwealth or to an individual who holds that office; or</li> <li>ii. a political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.</li> </ul> </li> </ul> <p><i>Note: Refer to the attached report entitled PA Political Contributions 2010-2014.</i></p>	X	
2.	Have you or an Affiliated Entity given any gifts to an official or employee of the municipal pension system or the municipality which controls the municipal pension system?		
3.	<p>Do you or an Affiliated Entity employ or retain any third-party intermediary, agent or lobbyist? If "Yes", describe the duties of that person.</p> <p><i>Note: PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.</i></p>		X
4.	<p>a. Do you or an Affiliated Entity have a direct financial, commercial or business relationship with any official of the municipal pension system or the municipality which controls the municipal pension system?</p> <p><i>Note: PNC may potentially have customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with officials identified on the "List of Municipal Officials" of the Borough of Churchill.</i></p>		X
	<p>b. Answer only if the answer to 4a. was "Yes": Has the municipal pension system consented in writing to the relationship following full disclosure?</p>		-
5.	Do any additional potential or actual conflicts of interest exist relative to contracting of services with the municipality?		X

6. For the contribution(s) disclosed in your answer to No. 1 above, provide the following information: (attach additional pages if necessary):
- Name and address of the contributor:
  - Contributor's relationship to the Contractor:
  - Name and office or position of each person receiving a contribution:
  - Amount of the contribution:
  - Date of the contribution:

*Note: Refer to the attached report entitled PA Political Contributions 2010-2014. This report reflects all contributions of at least \$500 (singly or in the aggregate) made from 2010-2014 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders. Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).*

We understand that knowingly making a material misstatement or omission on this form may cause the municipal pension system to void our professional services contract.

  
 Authorized Signature

12-1-15  
 Date

VERIFICATION

I, Joyce Frydryk hereby state that I am a Client Services Group Team Lead for  
(Name) (Position)

PNC Bank Institutional Asset Management Group and I am authorized to make this verification.  
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to BOROUGH OF CHURCHILL Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Joyce Frydryk

Signature

10-1-15

Date

PA Political Contributions (2010 - 2014) - Officer/Director/Executive Employee  
 Note: PNC Officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12.C.F.R.215).

Contributor Name	Contributor's Relationship	Contributor Is	Contributor Address	Date of Contribution	Name of Recipient	Recipient Is A	State Title Of Office	Amount of Contribution
Donald Shepard	PNC Director	Self	18 Starlight Farm Drive Phoenix, MD	4/8/2014	CSX Corp. Good Government Fund PAC	Political Action Committee (PAC) Other Than a Candidate's Committee	PAC	\$5,000
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	2/1/2010	Dan Onorato	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$10,000
Charles Bunch	PNC Director	Self	1414 Beechwood Blvd Pittsburgh, PA	3/8/2010	Dan Onorato	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$1,000
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	10/20/2010	Dan Onorato	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$1,000
Jane Pepper	PNC Director	Self	128 Springton Lake Road Media, PA	4/28/2010	Dominic Pileggi	Candidate (or Candidate's Committee) for State or Local Office	PA Senator	\$1,000
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	7/22/2011	Eagle Forum PAC	Political Action Committee (PAC) Other Than a Candidate's Committee	PAC	\$500
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	3/1/2010	Frank Dermody	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representatives	\$1,000
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	11/1/2011	Frank Dermody	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representatives	\$350
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	10/9/2012	Frank Dermody	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representatives	\$1,000
Thomas Usher	PNC Director	Self	26124 Fawn Wood Ct. Bonita Springs, FL	4/23/2013	Jack Wagner	Candidate (or Candidate's Committee) for State or Local Office	Mayor	\$5,000
Bruce Lindsay	PNC Director	Self	1820 Rittenhouse Square Philadelphia, PA	5/7/2013	John Callahan	Candidate (or Candidate's Committee) for State or Local Office	Northampton County Executive	\$500
Jane Pepper	PNC Director	Self	128 Springton Lake Road Media, PA	2/21/2014	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representative	\$250
Jane Pepper	PNC Director	Self	128 Springton Lake Road Media, PA	4/17/2014	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representative	\$250
Jane Pepper	PNC Director	Self	128 Springton Lake Road Media, PA	8/4/2014	Leanne Krueger-Branecky	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representative	\$250
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	10/2/2012	Luke Ravenstahl	Candidate (or Candidate's Committee) for State or Local Office	Mayor	\$2,000
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	10/20/2010	Michael Lamb	Candidate (or Candidate's Committee) for State or Local Office	City of Pittsburgh Controller	\$1,000
Thomas Usher	PNC Director	Self	26124 Fawn Wood Ct. Bonita Springs, FL	7/27/2010	Mike Turzai	Candidate (or Candidate's Committee) for State or Local Office	PA House of Representative	\$15,000
Charles Bunch	PNC Director	Self	1414 Beechwood Blvd Pittsburgh, PA	4/8/2010	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$1,000
James E Rohr	Chairman & CEO	Self	613 Dorseyville Rd. Pittsburgh, PA	10/14/2010	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$10,000
Thomas Usher	PNC Director	Self	26124 Fawn Wood Ct. Bonita Springs, FL	4/30/2014	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$1,000
Charles Bunch	PNC Director	Self	1414 Beechwood Blvd Pittsburgh, PA	9/4/2014	Tom Corbett	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$2,500
Thomas Usher	PNC Director	Self	26124 Fawn Wood Ct. Bonita Springs, FL	6/28/2012	Marathon Petroleum Corporation Employee	Political Action Committee (PAC) Other Than a Candidate's Committee	PAC	\$5,000
Thomas Usher	PNC Director	Self	26124 Fawn Wood Ct. Bonita Springs, FL	6/18/2014	Marathon Petroleum Corporation Employee	Political Action Committee (PAC) Other Than a Candidate's Committee	PAC	\$5,000